

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4067508
<b>Application Number:</b>	10692067
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7243
<b>Title of Invention:</b>	System and method for the automated brokerage of financial instruments
<b>First Named Inventor/Applicant Name:</b>	Michael James Tully
<b>Customer Number:</b>	21888
<b>Filer:</b>	Kristofer Mattehw Biskeborn/Karen Dodson
<b>Filer Authorized By:</b>	Kristofer Mattehw Biskeborn
<b>Attorney Docket Number:</b>	36194/42366
<b>Receipt Date:</b>	06-OCT-2008
<b>Filing Date:</b>	22-OCT-2003
<b>Time Stamp:</b>	18:04:02
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$490
RAM confirmation Number	3202
Deposit Account	200823
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1		AmendmentA.PDF	889741 b8886ccc51017c205d9345a1052b2eb0332 da46d	yes	19
<b>Multipart Description/PDF files in .zip description</b>					
Document Description		Start		End	
Preliminary Amendment		1		1	
Specification		2		2	
Claims		3		12	
Applicant Arguments/Remarks Made in an Amendment		13		19	

### Warnings:

### Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	30224 c4d316210377045945a74482ed6c034f2b4 a092f	no	2
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### Warnings:

### Information:

Total Files Size (in bytes):	919965
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.